PROCESS FOR FUNCTIONAL ASSESSMENT OF BEHAVIOR

A functional assessment is a process of identifying functional relationships between events and the occurrence and/or nonoccurrence of a target behavior. The principle objective of functional assessment is to derive clear hypotheses about the relationship between the environment and the behavior of interest, and the purpose of that behavior, so that the teacher/team may design an intervention that will be effective.

Antecedents are events which precipitate the problem behavior.

Consequences are events which occur as a result of the problem behavior.

Communicative intent is defined in this instance as what the student wants to tell others by his/her behavior or what the student understands from another's behavior.

Based on antecedents, consequences and communicative intents, a hypothesis upon which to develop a behavioral intervention strategy can be formulated.

This process must be applied when school personnel propose to change the placement of a student with disabilities as a result of a disciplinary infraction leading to a recommendation of a long-term suspension [beyond ten (10) days] or expulsion.
PROCESS FOR FUNCTIONAL ASSESSMENT OF BEHAVIOR

At the time of the behavior incident, was a behavior management/intervention plan in place which addressed the behavior(s) which are prompting this review? Yes □ No □ If yes, is the behavior management/intervention plan based upon a functional assessment of behavior? Yes □ No □

If the answer to either or both of the above questions is no, proceed to the "If No" Column.
If the answer to both of the above questions is yes, proceed to the "If Yes" Column.

**If Yes:**
Review the plan and current data to determine if:

a. The behavior in question is described in observable and specific terms and has been targeted for intervention.
   Yes □ No □

b. Baseline data exist showing the frequency, duration, and/or intensity of the behavior.
   Yes □ No □

c. Antecedent events/behaviors which may logically serve as a stimulus for the current behavior have been identified and documented.
   Yes □ No □

d. Specific consequences which follow the behavior have been identified, described, and are supported through existing documentation.
   Yes □ No □

e. The purpose and effect of the behavior have been evaluated for function or possible communicative intent.
   Yes □ No □

f. Documentation exists to support any environmental factors that may be contributing to the problem.
   Yes □ No □

g. Curriculum/materials/tasks have been reviewed and compared to student’s skills, learning strengths and weaknesses to determine if inappropriate instructional tasks/materials or curriculum contributes to the inappropriate behavior.
   Yes □ No □

h. Interventions selected reflect the conclusions of the above data and are consistent with applicable research findings and best practice.
   Yes □ No □

i. Ongoing data are being kept and reviewed, and intervention plan has been modified as necessary.
   Yes □ No □

**If No:**
A process of information gathering must be started to obtain information needed to conduct a functional analysis.

a. Identification of problem behavior: Review current behavioral and academic information to determine:
   - Pertinent child characteristics.
   - Learning strengths and weaknesses.
   - Behaviors addressed in previous evaluations as an area of weakness - past behavioral performance.

b. Identification of antecedents, consequences, and communicative intent and formulation of hypothesis concerning why the behavior occurs by:

1. **Reviewing** teacher collected data to:
   - Reconstruct possible antecedents for the problem behavior.
   - Identify consequences for the problem behavior and their effect on the behavior.
   - Establish duration, frequency, intensity of behavior.
   - Identify potential communicative intent or function of the behavior.

2. **Interviewing** teacher, parent, staff for the purpose of:
   - Formulating hypotheses concerning why the behavior occurs based on:
     The curriculum
     Setting
     Teacher expectations
     Peers in the environment
     Health concerns/issues
     Programming
   - Identifying consequences which may be maintaining the behavior.
   - Considering possible communicative intent or function of the behavior.
   - Reviewing past interventions and their effectiveness.
   - Determining possible strategies/interventions establishing appropriate behavior(s).

*PROCEED TO FUNCTIONAL ASSESSMENT ANALYSIS OF PROBLEM BEHAVIOR*